

Counting λ -terms

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Outline of the talk

Introduction, Problem Statement and First Results

Polynomials

Bounding

Counting specific terms

Counting variables

In the Turing world...

Research directions

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The main polynomial

Number of λ -terms with at most X variables

$$P_1(X) = X$$

$$P_{n+1}(X) = P_n(X+1) + \sum_{l+r=n} P_l(X)P_r(X)$$

This gives:

$$P_2(X) = 1 + X$$

$$P_6(X) = 42 + 49X + 26X^2 + 10X^3$$

$$P_3(X) = 2 + X + X^2$$

$$P_7(X) = 139 + \dots + 5X^4$$

$$P_4(X) = 4 + 5X + 3X^2$$

$$P_8(X) = 506 + \dots + 35X^4$$

$$P_5(X) = 13 + 17X + 6X^2 + 2X^3$$

What are the coefficients of P ?

$$P_n(X) = \sum_{i=0}^{\left\lceil \frac{n}{2} \right\rceil} c_{n,i} X^i$$

The coefficients of the main polynomial

What are the coefficients of P ?

$$P_n(X) = \sum_{i=0}^{\lceil \frac{n}{2} \rceil} c_{n,i} X^i$$

Number of λ -terms with 1 variable occurring i -times

$$c_{1,1} = 1, c_{1,k} = 0 (k \neq 1)$$

$$c_{n+1,k} = \sum_{i=0}^{+\infty} \binom{k+i}{i} c_{n,k+i} + \sum_{\substack{l+r=n \\ i+j=k}} c_{l,i} c_{r,j}$$

The derivatives of the main polynomial

Derivation

$$\frac{1}{k!} P_n^{(k)}(X) = \frac{1}{k!} \sum_{i=k}^{\lceil \frac{n}{2} \rceil - k} c_{n,i} \frac{i!}{(i-k)!} X^{i-k} = \sum_{i=k}^{\lceil \frac{n}{2} \rceil - k} c_{n,i} \binom{i}{k} X^{i-k}$$

Therefore

$$\frac{1}{k!} P_n^{(k)}(X) :$$

Number of λ -terms with at most X variables + 1 variable occurring k -times

$$P_n(X+1) = \sum_{i=0}^{+\infty} \frac{1}{k!} P_n^{(k)}(X)$$

Term with exactly X variables

Formula

$$Q_n(0) = P_n(0), Q_n(X+1) = P_n(X+1) - P_n(X)$$

$$Q_1(1) = 1, Q_1(X) = 0(X \neq 1)$$

$$Q_{n+1}(X) = Q_n(X) + Q_n(X+1)$$

$$+ \sum_{\substack{l+r=n \\ X_0+X_1+X_2=X}} \frac{X!}{X_0!X_1!X_2!} Q_l(X_0+X_1) Q_r(X_0+X_2)$$

Useful to count some classes of terms

Example: affine terms

$$A_1(1) = 1, A_1(X) = 0(X \neq 1)$$

$$A_{n+1}(X) = A_n(X) + A_n(X+1)$$

$$+ \sum_{\substack{l+r=n \\ X_1+X_2=X}} \frac{X!}{X_1!X_2!} A_l(X_1) A_r(X_2)$$

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First result

$\forall \alpha < 1$ we have f, g exponential, such that:

$$f(n - n^\alpha)(n^\alpha + X - 1)^{\frac{n - n^\alpha}{2}} \leq P_n(X) \leq g(n)(n + X)^{\lceil \frac{n}{2} \rceil}$$

The asymptotic development of $P_n(0)$

$$P_n(0) \sim n^{\lceil \frac{n}{2} \rceil} \dots$$

Better upper bound

Size zero for variables

$P_0(X) = X$ instead of $P_1(X) = X$

$$P_n(X) \leq C(n)(n + X)^{n+1}$$

The dominant coefficient is exact.

Conjecture for size one variables

$$P_{2n}(X) \leq (2n - 1)C(n - 1)(2n - 1 + X)^n$$

$$P_{2n+1}(X) \leq C(n)(2n + X)^n$$

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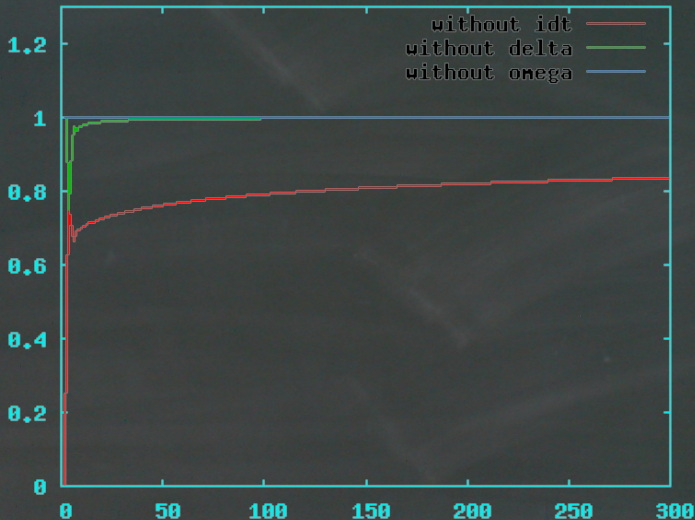
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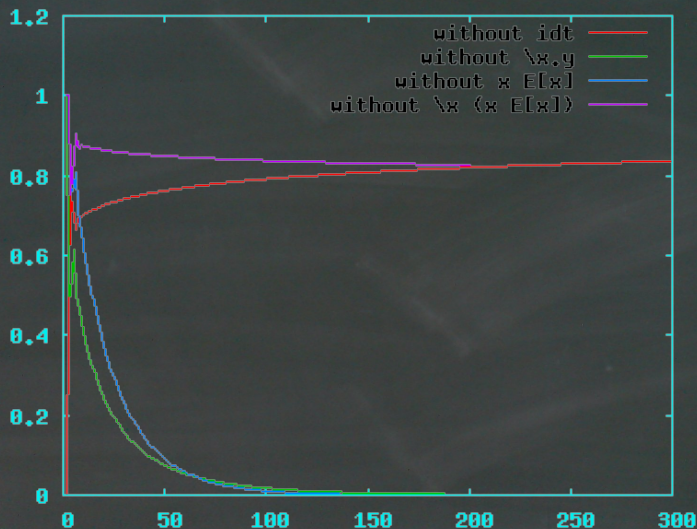
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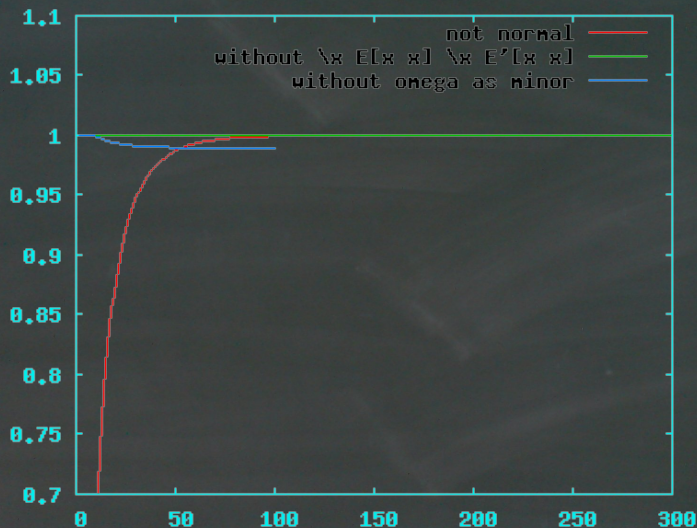
Terms avoiding a given term as subterm



Terms avoiding a given pattern as subterm

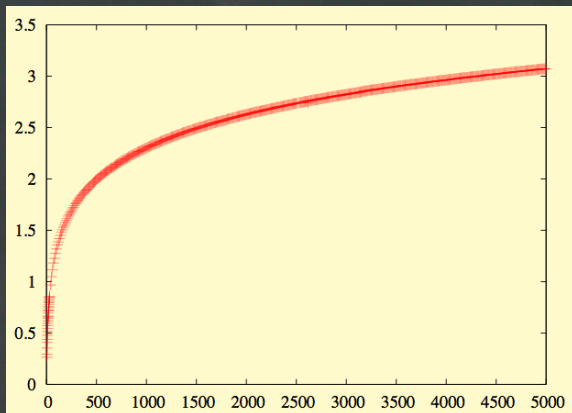


Normalisable terms



Other results by Jue Wang (Boston) 2004

- ▶ Typable terms converges toward probability 0
- ▶ Ratio of application nodes / lambda nodes



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General setting

Recurrence formula

$$P_0(X) = 0$$

$$P_{n+1}(X) = V_{n+1}(X) + P_n(X + 1) + \sum_{i+j=n} P_i(X) P_j(X)$$

- ▶ n : size
- ▶ X : number of available variables
- ▶ $V_n(X)$: the number of terms which are variables

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Until now

unit size: $V_1(X) = X$ and $V_n(X) = 0$ if $n > 1$

Is it realistic?

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Is it realistic? Well...

- ▶ 1 bit to represent many possible choices
- ▶ a super-exponential number of terms of a given “size”

Non-unit cost of variables

A concrete notion of size

- ▶ use De Bruijn indexes
- ▶ take into account the cost of such indexes

Non-unit cost of variables

A concrete notion of size

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- ▶ take into account the cost of such indexes

Possible choices

- ▶ unary De Bruijn index:

$$V_n(X) = \begin{cases} 1 & \text{if } n \leq X \\ 0 & \text{else} \end{cases}$$

- ▶ binary De Bruijn index:

$$V_n(X) = \#\{i : i \leq X \text{ and } |\text{bin}(i)| = n\}$$

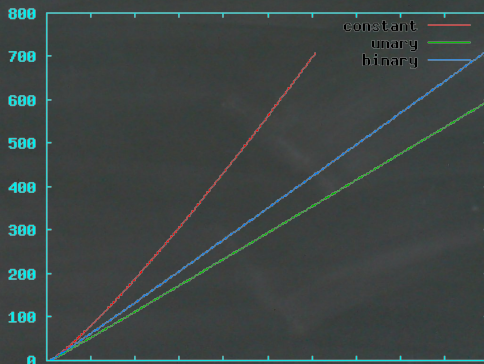
Small closed terms in the 3 models

size	constant	unary	binary
2	$\lambda x.x$	$\lambda.1$	$\lambda.0$
3	$\lambda x_1, x_2.x_i \quad (\times 2)$	$\lambda^2.1$	$\lambda^2.0$ $\lambda^2.1$
4	$\lambda x_1, x_2, x_3.x_i \quad (\times 3)$ $\lambda x.x \ x$	$\lambda^3.1$ $\lambda^2.11$ $\lambda.1 \ 1$	$\lambda^3.0$ $\lambda^3.1$ $\lambda.0 \ 0$
5	$\lambda x_1, x_2, x_3, x_4.x_i \quad (\times 4)$ $\lambda x_1, x_2.x_i \ x_j \quad (\times 4)$ $\lambda x_1.x_1 \ (\lambda x_2.x_i) \quad (\times 4)$ $(\lambda x.x) \ (\lambda x.x)$	$\lambda^4.1$ $\lambda^3.11$ $\lambda^2.1 \ 1$ $\lambda.(1 \ \lambda.1)$ $\lambda.(\lambda.1 \ 1)$ $(\lambda.1) \ (\lambda.1)$	$\lambda^4.(0/1) \quad (\times 2)$ $\lambda^3.11$ $\lambda^2.(0/1) \ (0/1) \quad (\times 4)$ $\lambda.0 \ (\lambda.(0/1)) \quad (\times 4)$ $(\lambda.0) \ (\lambda.0)$

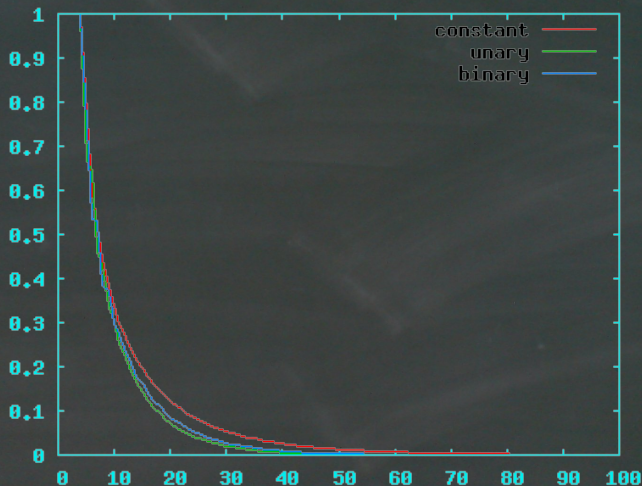
Differences between the 3 models

For non-unit costs

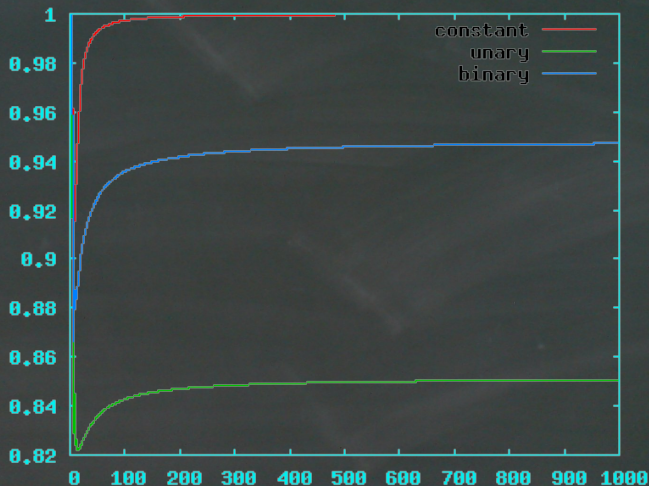
- ▶ the growth rate is only exponential
- ▶ $P_n(X)$ is not a polynomial in X



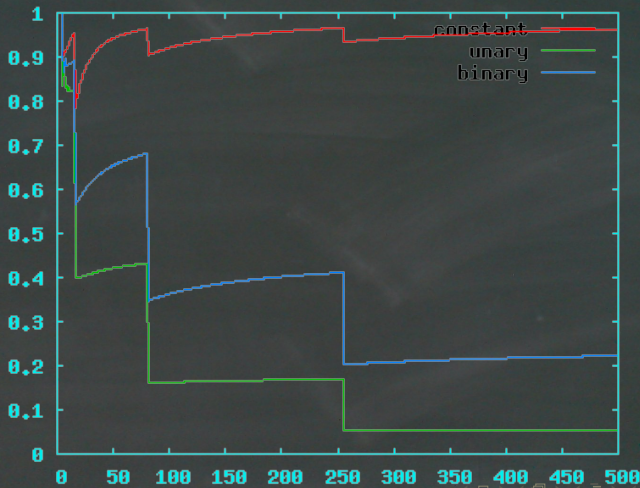
Proportion of terms in normal form



Proportion of terms starting with a lambda

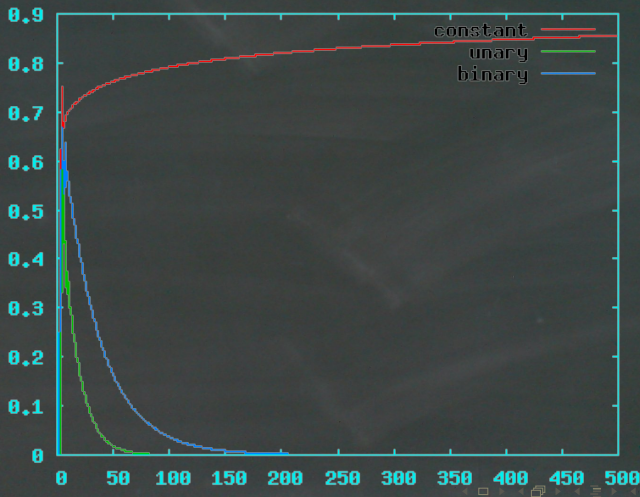


Proportion of terms starting with $\lceil \sqrt{\sqrt{n}} \rceil$ lambdas



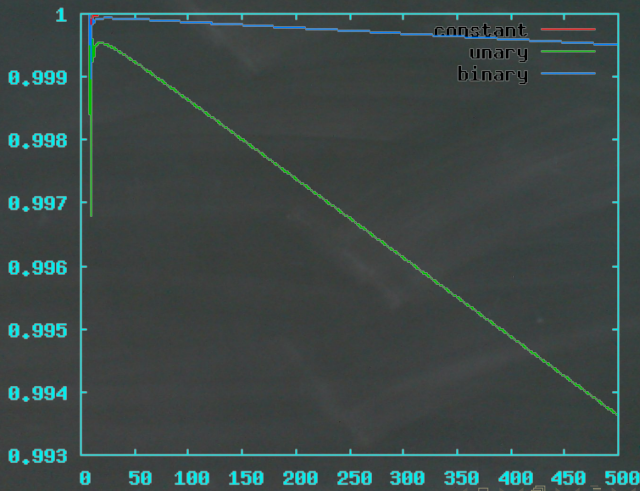
Proportion of terms not containing identity

Remark: id has the same size for the 3 models



Proportion of terms not containing Ω

Remark: Ω has the same size for the 3 models



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In the Turing world...

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Analogous questions in the Turing world

- **syntactical objects:** fully specified Turing machines

$$\delta : \{0, 1\} \times Q_n \rightarrow \{0, 1\} \times (Q_n \cup \{halt\}) \times \{L, R\}$$

- **studied property:** the halting problem (H)

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- **syntactical objects:** fully specified Turing machines

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Simple facts

1. there are $(4n + 4)^{2n}$ machines with n states
2. $d(H) < 1 - 1/e^2$ (if it exists)

- determining $d(H)$ in the general setting is still open, but...

Result of another kind...

Theorem (J.D. Hamkins, A. Miasnikov, 2005)

There exists a set B of machines such that:

- 1. B is decidable (in polynomial time);*
- 2. B has asymptotic density 1;*
- 3. the halting problem is decidable (in polynomial time) on B .*

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model sensitive result!

- ▶ it uses semi-infinite tape Turing-machines!
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Proof idea

Uses a trick to convert the first steps of a random Turing machine into a random walk of the head.

Yet another kind of result...

Definition

A set is **strongly generic** if its complement converges exponentially fast to 0 in density.

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Theorem (A. Rybalov, 2005)

The halting problem is strongly undecidable, i.e. it is undecidable on any strongly generic set of Turing machines.

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The halting problem is strongly undecidable, i.e. it is undecidable on any strongly generic set of Turing machines.

Proof idea

The set of machines computing a given (computable) function is not strongly negligible (one can add garbage code at some places without affecting the computation).

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- ▶ typical tree shape of random λ -terms (e.g. relation between height and size)

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- ▶ “combinatorial” proof that for any fixed tree T_0 , a large random tree always contains T_0 (Kolmogorov incompressibility method?)
- ▶ typical tree shape of random λ -terms (e.g. relation between height and size)
- ▶ other experiments: random generation of big λ -terms to have an idea of their shape

Research directions

- ▶ possible properties to look at:
 - ▶ *about shape*: normality, beginning by a λ ,
 - ▶ *depending on the reduction rule*: SN, WN,
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- ▶ finding sets of terms with density 1 for which SN or WN becomes decidable
- ▶ consider other distributions than the uniform one (e.g., similar to the BST model)
- ▶ any non-trivial **proved** result about random λ -terms with any representation of variables is welcome!